

To: All SEBI Registered Portfolio Managers From: Rashim Bagga Subject: **Cyber Security- Urgent** Date: 21st June'2023

Greetings from APMI!

This is further to the trail mail below SEBI, as a part of their preparedness on Cyber Security for its regulated entities.

Taking that forward and to increase the overall cyber security in a cost-effective manner **SEBI's High Powered Steering Committee on Cyber Security, (HPSC- CS)** headed by Mr. Navin Kumar, DG NCIIPC, interacted with various cyber agencies and **Cyber Swachhta Kendra** (CSK) being one of them.

Brief about CSK:

- CSK is a part of CERT-In and has been set up for securing the **cyber space** from botnets and malwares.
- CSK scans the feeds on a real-time basis and intimates to the affected party the identified vulnerabilities with details of port and timestamp.

What does CSK do & the process forward?

- The organizations registered with CSK have to **share their public IPs** with CSK for regular monitoring.
- The entire workflow of CSK, from the detection of threats to the communication of threats is **automated**.
 - These communications are sent to the concerned points of contact of the affected organizations at predetermined time slots.
 - $_{\odot}$ $\,$ The subsequent compliance by the affected organization is also **monitored** by CSK.
- CSK has mandated **monthly compliance** of its alerts under the powers granted to it in the IT Act, 2000.
- As a part of GOI project to secure the cyber space they provide this service free of cost.

Ask from APMI:

- As recommended by HPSC-CS and approved by the competent authority, Portfolio Managers having an AUM of more than Rs.3000 Crs as on 31st March' 23 are mandatorily required to on-board on the CSK Platform within 15 days of this communication.
 - APMI has to **oversee** this onboarding process and provide a **status report** to SEBI within **15 days** of this communication.



ASSOCIATION OF PORTFOLIO MANAGERS IN INDIA

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Ask from the Portfolio Managers:

• You have to complete your onboarding with CSK.

- For completing this onboarding process- You are requested to kindly fill in the details mentioned in the attached excel file.
- oSubmit the same to csk@cert-in.org.in and principalofficer@apmiindia.org
 - Your responses are requested by Friday 30th June'23

Looking forward to your support in completing this and in case of any clarification please do contact us.

Warm Regards,

Association of Portfolio Managers in India B-121, 10th Floor, WeWork- Enam Sambhav G-Block, Bandra Kurla Complex, Mumbai- 400051

------ Forwarded message ------From: VIKAS KOMERA <vikask@sebi.gov.in>

Date: Tue, Jun 20, 2023 at 3:00 PM

Subject: On-boarding of PMS(with AUM of more than Rs.3000 Crore) on Cyber Swachhta Kendra ("CSK") of CERT-In

To: rashim.bagga@apmiindia.org <rashim.bagga@apmiindia.org>

Cc: ROHIT SARAF <<u>rohits@sebi.gov.in</u>>, Nobel Shukla <<u>nobels@sebi.gov.in</u>>, Mayank Singh <<u>smayank@sebi.gov.in</u>>

Madam,

I. SEBI in its various endeavors has incorporated an emphasis of Cyber hygiene and preparedness among its regulated entities. In order to increase the overall cyber security of registered entities in a cost-effective manner SEBI's HPSC-CS has interacted with various cyber agencies one of them being on the Cyber Swachhta Kendra ("CSK") of CERT-In (<u>https://www.csk.gov.in/</u>). The salient features of CSK are as follows:

i. CSK is a part of CERT-In and has been setup for securing the country's cyber space from botnets and malwares. CSK scans the feeds on a real-time basis and intimates to the affected party the identified vulnerabilities with details of port and timestamp. This <u>service is free of cost as a part of the</u> <u>Government of India project to secure the cyber space</u>.

ii. The organizations registered with CSK share their public IPs for the regular monitoring by CSK. The entire workflow from the detection of threats to the communication of threats is automated. The communication is sent to the concerned points of contact of the affected organizations at a pre-determined time slot every 24 hours and the subsequent compliance by the affected organization is



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monitored by CSK. CSK has mandated monthly compliance of its alerts under the powers granted to it in the IT Act, 2000.

II. In this regard, as recommended by HPSC-CS and approved by the competent authority, all PMS (with AUM of more than Rs.3000 Crore) are hereby mandated to onboard on the CSK Platform within 15 days.

III. In order to streamline the process of on-boarding, APMI is hereby advised to oversee the onboarding of PMS (with AUM of more than Rs.3000 Crore) in a time bound manner and provide a status report to SEBI within 15 days.

IV. Further, in order to help PMS to on-board with CSK, SEBI had discussed the process of on-boarding with CSK officials. Based on the discussions, it is understood that eligible PMS are required to submit following details to CSK at the e-mail ID 'csk@cert-in.org.in' for on-boarding:

- a. Organization Name
- b. CISO Details

- i. Name of CISO
- ii. Mobile Number
- iii. Email ID
- c. Email ID for Alerts
- d. Public IP Details (Subnets)

V. For further information and guidance, PMS/ APMI may contact following CERT-In officers:

- a) Shri Noorul Ameen (noorul.ameen@meity.gov.in)
- b) Shri Bhupendra Awasya (bs.awasya@meity.gov.in)

VI. In case of any clarification you may please contact the undersigned or Shri. Mayank Singh, Manager (Extn: 9827) for any clarifications.

Warm Regards,

Assistant General Manager Investment Management Department Securities and Exchange Board of India (SEBI) Contact: (+91) 22 2644 9478 | Email: <u>vikask@sebi.gov.in</u>



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